



## UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
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| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/048,104                  | Hans-Rolf Dubal       | 514453-3912      |

INTERNATIONAL APPLICATION NO.

PCT/EP00/07075

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
| 07/24/2000       | 07/28/1999    |

William F Lawrence  
Frommer Lawrence & Haug  
745 Fifth Avenue  
New York, NY 10151

DOCKETED

CONFIRMATION NO. 1522

371 FORMALITIES LETTER



\*OC000000007875938\*

Date Mailed: 04/17/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements

FROMMER LAWRENCE & HAUG, LLP  
MAY 29 A 9 53

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$280** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$410** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$280**
  - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

**PART 1 - ATTORNEY/APPLICANT COPY**

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|-----------------------------|-------------------------------|------------------|
| 10/048,104                  | PCT/EP00/07075                | 514453-3912      |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dübal et al  
Serial No. : 10/048,104  
Filed : January 25, 2002  
For : SMECTIC LIQUID CRYSTAL HIGH-CONTRAST CONTROL OR DISPLAY  
DEVICE  
Confirmation No.: 1522

745 Fifth Avenue  
New York, New York 10151

EXPRESS MAIL

Mailing Label Number EV 073648480 US  
Deposited on: June 17, 2002  
Express Mail Post Office to Addressee" Service  
under 37 CFR 1.10 on the date indicated above and  
is addressed to the Assistant Commissioner for Patents,  
Trademarks, Washington, D.C. 20231

Charles Jackson  
(Typed or printed name of person mailing paper or fee)

Charles Jackson  
(Signature of person mailing paper or fee)

COMMUNICATION

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

Attached is the original executed inventor's declaration along with Check No.  
021537 for \$410.00 to cover the required Declaration surcharge fee as well as the required  
multiple dependent claims surcharge.

This Communication is being filed with a copy of the Notification of Missing requirements dated April 17, 2002..

Please charge any additional fees required to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: \_\_\_\_\_



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01 FC:154  
02 FC:968

130.00 DP  
280.00 DP